Case No. <u>10790/2</u> 10790/3

## **DECLARATION FOR PATENT APPLICATION**

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if

As a below named inventor, I hereby declare that:

Ţ.

m

Citizenship

Post Office Address

My residence, post office address and citizenship are as stated below next to my name.

plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND SYSTEM FOR ENHANCED DISTRIBUTION OF FINANCIAL INSTRUMENTS, the specification of which:  $\boxtimes$ is attached hereto. was filed on as Application Serial No. \_\_\_\_. and was amended on \_\_\_\_ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed: Prior Foreign Application(s) Priority Claimed (Number) (Country) (Day/Month/Year Filed) Yes No I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below: 60/260,113 January 5, 2001 (Application Serial No.) (Filing Date) I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application: (Application Serial No.) (Filing Date) (Status-patented, pending, abandoned) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Inventor's Signature Date: Full name of sole or first inventor Curt Conklin Chicago, Illinois Residence

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Inventor's Signature Full name of third joint inventor Residence Citizenship Post Office Address	Date:	
Inventor's Signature Full name of fourth joint inventor Residence Citizenship Post Office Address	Date:	
Inventor's Signature Full name of fifth joint inventor Residence Citizenship Post Office Address	Date:	
Inventor's Signature Full name of sixth joint inventor Residence Citizenship Post Office Address	Date:	
Inventor's Signature Full name of seventh joint inventor Residence Citizenship Post Office Address	Date:	

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